

Conflict Minerals Policy Statement

Luna Optoelectronics supports ending the violence and human rights violations in the mining of certain materials from a location described as the “Conflict Region”, which is situated in the eastern portion of the Democratic Republic of the Congo (DRC) and surrounding countries. As a result of the U.S. Securities and Exchange Commission (“SEC”) adopted final rules to implement reporting and disclosure of requirements related to “conflict minerals” as directed by the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 HR4173 Section 1502 (Conflict Minerals Act¹). The rules require manufactures who file certain reports with the SEC to disclose whether the products they manufacture or contract to manufacture contain “conflict minerals” that are necessary to the functionality or production of those products.

The definition of “conflict minerals” refers to gold, as well as tin, tantalum and tungsten, the derivatives of cassiterite, columbite-tantalite and wolframite, regardless of where they are sourced, processed or sold. The U.S. Secretary of State may designate other minerals in the future. We support these requirements to further the humanitarian goal of ending violent conflict in the Democratic Republic of the Congo (DRC) and in surrounding countries, which has been partially financed by the exploitation of trade of “conflict minerals”.

OUR COMMITMENT:

1. Support the aims and objectives of the U.S. legislation on the supply of “conflict minerals”.
2. Do not knowingly procure specified metals that originate from facilities in the “Conflict Region” that are not certified as “conflict free”.
3. Ensure compliance with these requirements, and ask our suppliers to undertake reasonable due diligence with their supply chains to assure that specified metals are being sourced only from:
 - Mines and smelters outside the “Conflict Region” **or**
 - Mines and smelters which have been certified by an independent third party as “conflict free” if sourced within the “conflict Region”.

This due diligence includes having our suppliers provide written evidence documenting the raw materials used to produce gold, tin, tantalum and tungsten, used in the materials to manufacture components and products supplied to Advanced Photonix, originate outside the “Conflict Region” **or** if they originate from within the “Conflict Region”, that the mines or smelters be certified as “conflict free” by an independent third party. The aim is to ensure that only “conflict free” materials and components are used in products that we produce

If we discover the use of these minerals produced in facilities that are considered to be “non-conflict free”, in any material, parts or components we procure, we will take appropriate actions to transition product to be “conflict free”.

Sincerely

Jean-Pierre Maufas
General Manager
Luna Optoelectronics.
Optoelectronic Solutions